

AML / KYC Policy Audit

Legal

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This guide explains what the tool produces, how to use the output, and what to watch for. The actual output (draft/report) is generated on the tool page.

Background

Audit your AML/KYC controls against the PMLA and RBI regulator directions — control gaps across CDD, risk categorisation, monitoring, STR/CTR reporting and record-keeping — with your reporting-entity status and FIU-IND obligations. Fill in the details below.

Purpose of this tool & output

Assess financial-crime control gaps

How the output is used

Use it to audit financial-crime controls — a check of your AML and KYC policy, screening and reporting against the applicable norms.

Who should vet it

A compliance professional, to confirm the AML/KYC gaps and remediation against your regulator.

Important cautions

AI-generated audit; confirm with a compliance professional for your regulator.

Companion documents

- Compliance Gap Assessment
- DPDPA Readiness Audit
- ISO 27001 Gap Audit
- SOC 2 Readiness Audit
- POSH Act Legal Compliance Audit
- Labour-Law Compliance Audit

This is AI-assisted guidance. Verify with a qualified professional before any binding decision. Fees, authorities and processes vary by state and change over time — confirm with your state authority.