

# Risk Disclosure Document

Banking, Finance & Fintech

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This guide explains what the tool produces, how to use the output, and what to watch for. The actual output (draft/report) is generated on the tool page.

## Background

Drafts a plain-language risk disclosure document for broking clients — market risks (volatility, loss of capital), product-specific risks (derivatives, leverage, F&O losses) and client acknowledgements — with a note to also use the SEBI/exchange prescribed formats. Use this to disclose risks clearly before clients trade.

## Purpose of this tool & output

Disclose market risks clearly

## How the output is used

Use the tool to disclose market risks clearly to clients before they invest or trade.

## Important cautions

AI-generated draft; use the SEBI and exchange prescribed risk disclosure formats.

## Companion documents

- Sub-Broker / AP Setup Guide
- SEBI Compliance Checklist
- KYC & Account Opening SOP
- Client Agreement (Broking)
- Margin & Risk Policy
- Grievance Redressal Framework

This is AI-assisted guidance. Verify with a qualified professional before any binding decision. Fees, authorities and processes vary by state and change over time — confirm with your state authority.