AISEVAK.IN · FREE GUIDE

Margin & Risk Policy

Banking, Finance & Fintech

Document type: Policy · Generated 28 Aug 2026

This guide explains what the tool produces, how to use the output, and what to watch for. The actual output (draft/report) is generated on the tool page.

Background

Drafts a margin and risk management policy for a broker — margin collection, upfront-margin norms, exposure limits, MTM and square-off policy, peak-margin and shortfall handling, and margin-call communication. Use this to manage client margin and risk exposure in line with SEBI peak-margin and exchange norms.

Purpose of this tool & output

Manage client margin and risk exposure

How the output is used

Adopt a margin and risk policy to manage client margin and risk exposure within exchange norms.

Who receives it

Approved by the board/risk committee; applies to all dealing and risk staff.

Important cautions

AI-generated policy; follow SEBI peak-margin and exchange risk norms.

Companion documents

- Sub-Broker / AP Setup Guide
- SEBI Compliance Checklist
- KYC & Account Opening SOP
- Risk Disclosure Document
- Client Agreement (Broking)
- Grievance Redressal Framework

This is AI-assisted guidance. Verify with a qualified professional before any binding decision. Fees, authorities and processes vary by state and change over time — confirm with your state authority.