

Certification & Licensing Guide

Banking, Finance & Fintech

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This guide explains what the tool produces, how to use the output, and what to watch for. The actual output (draft/report) is generated on the tool page.

Background

Explains the certifications and licences needed for financial-services roles — for each activity (MF distribution, broking, insurance, advisory), the requirement (NISM/ARN, IRDAI licence, RIA) and notes — plus renewal (CPE and validity). Use this to know exactly which certifications your team must hold. Confirm current requirements with AMFI, SEBI and IRDAI.

Purpose of this tool & output

Know which certifications your team needs

How the output is used

Use the guide to know which certifications and licences your team needs (NISM, IRDAI, AMFI).

Important cautions

AI-generated guide; confirm current requirements with AMFI, SEBI and IRDAI.

Companion documents

- BFSI Hiring Kit
- Advisor / RM Training Program
- Sales Incentive Scheme
- Conduct & Ethics Policy
- Performance Review Kit
- Attendance & Leave Policy

This is AI-assisted guidance. Verify with a qualified professional before any binding decision. Fees, authorities and processes vary by state and change over time — confirm with your state authority.